

YMCA HUMBER

Complaints Policy

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Reviewing Officer:	Deputy Chief Executive
Review Commencement Date:	January 2025
Subsequent Review date:	January 2026
Version	2.0



Here for young people
Here for communities
Here for you

YMCA enables people to develop their full potential in mind, body and spirit. Inspired by, and faithful to, our Christian values, we create supportive, inclusive and energising communities, where young people can truly belong, contribute and thrive.

FAMILY & YOUTH WORK

HEALTH & WELLBEING

HOUSING

TRAINING & EDUCATION

SUPPORT & ADVICE

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1. APPLICATION OF THIS POLICY

- 1.1 YMCA Humber is committed to ensuring that there are effective means to review, respond and act on complaints in relation to its service delivery.
- 1.2 The Policy applies to all legal entities within YMCA Humber. This policy links to the charity's obligations under the Housing Ombudsman's Complaint Handling Code and Tenant Satisfaction Measures, and under OFSTED registration requirements.

2. OBJECTIVE

- 2.1 We are committed to providing services that meet the standards agreed with customers (including residents, service users, young people and stakeholders). Through the implementation of this policy, we aim to address situations where we do or do not meet expectations and need to understand, learn, and identify how we can improve our service. When considering feedback, we will consider whether it is either:

A Service Request,

A Complaint,

An Appeal (against a housing notice or warning)

- 2.2 These terms are defined below and the Complaint Handling Code (for complaints in relation to our landlord duties) and wishes of the Customer will be considered when deciding which category is appropriate. Where we can, we will seek to avoid matters escalating by understanding and putting in place effective remedies.

3 POLICY STATEMENT

The aim of the Policy is to:

- (a) Ensure customers have open and fair access to the complaints process.
- (b) Manage complaints effectively and efficiently.
- (c) Operate a prompt, fair complaint escalation and review process.

As an integral part of the review process, we will engage with customers in the review of this Policy.

4 EQUALITY IMPACT ASSESSMENT

- 4.1 We are committed to treating everyone fairly, recognising the protected characteristics set out in the Equalities Legislation. We will act sensitively towards the diverse needs of individuals and communities and will take positive action where appropriate.
- 4.2 We make appropriate arrangements where necessary to ensure that customers with distinct communication needs are not unreasonably or disproportionately affected. This could involve providing communications in alternative languages or formats or providing interpretative or transcription assistance where appropriate.
- 4.3 We will ensure that anyone living with us, and in particular those under the age of 18, or anyone accessing any of our services, is supported to make a complaint and ensure that they are not subject to any reprisal or detriment for making a complaint or representation.

5 DEFINITIONS

5.1 Customer:

Refers to all service users whether they be residents in our accommodation, young people in our clubs or activity sessions, children or family members who use our facilities and café, members of the community who hire a room or sports pitch or use any of our community facilities.

5.2 Service Request:

A service request in the context of this policy is a request from a customer requiring action to be taken by the Charity to put something right, for example, a repair needed in our accommodation. A complaint should be raised when the customer raises dissatisfaction with the response to their service request. Service requests will be recorded, monitored, and reviewed regularly.

5.3 An Appeal against a housing sanction:

An appeal should be submitted in response to any sanction taken under a resident's license agreement, for example following the issue of a Notice to Quit. A complaint will only be accepted if the complainant believes that the correct process has not been followed in consideration of an appeal.

5.4 Complaint:

Where dissatisfaction is expressed about a service and we have been unable to remedy the situation to the customer's satisfaction and the customer chooses to formalise the matter, a complaint should be lodged within 12 months of the occurrence which is being complained about. A customer does not have to use the word 'complaint' for it to be treated as such.

A complaint may be made by a current or former customer, a prospective customer, a third party affected by our actions, or an MP, Councillor, or third party acting on their behalf with their permission. Compliments, complaints, and suggestions may also be raised via our different social media platforms. See exclusions section.

5.5 Compliment

A compliment is an unsolicited expression of gratitude or praise for a member of staff or service area from either a colleague, any user of any YMCA Humber services or an external organisation.

Compliments enable YMCA Humber to acknowledge and celebrate staff, understand where we are successful, and how we can replicate this success across services.

5.6 Suggestion:

We recognise that sometimes customers put forward a suggestion as to how we can improve our service without making a complaint. We will actively encourage people to make suggestions for improvement. This includes:

- Talking to customers while they are on the premises.
- Monitoring social media and online forums.
- Asking employees about customer feedback.
- Reading online reviews by customers.

- Customer feedback surveys and suggestion forms.
- Resident satisfaction surveys.

We recognise that suggestions may be things that take a longer time to respond to (as may include suggestions of significant change to service or property standards). As such we will try to respond to suggestions, but this response will be on a more bespoke timeline. From time to time, we will publish information to demonstrate how customers' comments have been taken on board.

5.7 Housing Surveys (Tenant Satisfaction Measures)

When YMCA Humber ask for feedback via customer satisfaction surveys, residents will be made aware of how they can pursue a complaint and will be provided with the complaints procedure either in paper form or via a link to the YMCA Humber website.

6 REPORTING AND MONITORING

6.1 We will use complaints as an opportunity to learn and implement remedies to prevent future recurrences where appropriate. When closed, complaints will be analysed to:

- Assess whether the complaints process set out in this policy has been met.
- Assess quality of response to complaints.
- Assess that appropriate action has been taken within reasonable response times.
- Assess whether the policy has been applied fairly and consistently.
- Identify any lessons to be learned and service improvements made.
- Decide on any necessary communication to staff and customers.
- Identify any required amendments to procedures.
- Report on the number of complaints made by various demographic groupings, as appropriate.
- Report number of complaints handled via unacceptable behaviour processes or are repetitive.

6.2 We will routinely monitor our performance in implementing this policy and report outcomes to the Board, the Trustee Responsible for Complaints and the Leadership Team. The Board will consider the impact of very serious complaints on the risk register. We will conduct regular customer satisfaction surveys that will enable customers and the Charity to provide feedback on complaints handling to ensure that customers feel able to complain and are confident that their complaint will be dealt with in a positive manner.

6.3 The Board of Trustees will review this Policy every year to ensure it is effective and complies with current legislation and good practice. Any changes that are required will be progressed as necessary.

7 EXCLUSIONS

7.1 The following type of complaints cannot be pursued through the Complaints process where the issue:

- Has already been raised through our complaints process and matters have previously been considered under the complaints policy.
- Is, has or should be handled through legal action and where legal proceedings have started. This is defined with the claim, such as the Claim Form and Particulars of Claim, having been filed at court.
- Is an internal complaint raised by a member of staff or volunteer (which should instead be treated in line with the grievance or whistleblowing policies).
- Is raised anonymously/without means of speaking to complainant to substantiate the complaint.
- The issue giving rise to the complaint occurred over twelve months ago. Discretion will apply e.g. individual personal circumstances e.g. bereavement/illness; vulnerabilities; where a complainant has not been aware of the issue and could not be expected to bring the complaint forward sooner.

7.2 Where a complaint cannot be pursued through our complaints process, we will provide the customer with an explanation setting out the reasons why the matter is not suitable for the complaints process and the right to take our decision to: the Housing Ombudsman if their complaint is in relation to our landlord duties; OFSTED if their complaint is related to childcare; or the Charity Commission if the complaint is of a serious nature and related to the running of the charity. If these external regulators do not believe that the exclusion has been fairly applied, they may tell us to take on the complaint.

8 MAKING A COMPLAINT

8.1 A complaint can be raised in any way and with any member of staff. Ways of raising complaints include:

- Completing a complaint form and handing it in at reception at 21 Freeman Street, Grimsby.
- By email to info@ymca-humber.com
- By letter to the Complaints Officer, YMCA Humber, 21 Freeman Street. Grimsby DN32 7AB.
- Complete a complaint form on the YMCA Humber Website.
- Verbally to a member of staff who will support the complainant to complete a complaint form.
- Where a complaint or service request is raised via social media, it will be treated in line with the complaints policy and process. Where it is identified as a specific complaint then the complainant will be contacted directly via social media to progress this further. Where it is a service request, the Charity will decide whether to publish a public or private response.

8.2 A summary, step by step guide to the complaints policy will be made available in plain English at key points around our sites and on our website. Where a customer requires assistance in completing a form, assistance can be provided by a member of staff or by a third party such as the Citizens Advice Bureau.

8.3 A summary of this policy and a full copy will be made available on our website, including information about the Housing Ombudsman, the Housing Ombudsman Code and OFSTED.

8.4 Where the complaint concerns a Safeguarding issue relating to people in the care of YMCA, then the YMCA Humber Safeguarding Policy will apply.

8.5 The officer reviewing the complaint will also consider whether the seriousness of the matter triggers any regulatory reporting requirements. If there are any questions about the most appropriate steps to take, then the advice of the Leadership Team and/or Designated Safeguarding Lead will be sought.

9 EXCEPTIONAL VARIATION

9.1 A tiny minority of customers may account for a disproportionate and unreasonably high volume of complaints, diverting significant time and resources away from other customers. The following are examples where a complainant could be considered as placing an unreasonable demand on the Charity:

- a) Requesting large volumes of information, asking for responses within a short space of time, refusing to speak to an individual or insisting on speaking with another.
- b) Refusing to accept the answer that has been provided, continuing to raise the same subject matter without providing new evidence, continuously adding to/or changing subject matter of the complaint.
- c) Refusal to co-operate (e.g. complaining without responding to clear and appropriate requests to clarify the complaint, and / or requests for further information to investigate it.)
- d) Verbal abuse, aggression, violence (this is not just limited to actual physical or verbal abuse but can include derogatory remarks, rudeness, inflammatory allegations, and threats of violence.)
- e) Overload of letters, calls, emails or contact via social media (this could include frequency of contact as well as the volume of correspondence received as well as the frequency / length of telephone calls).

9.2 A member of the Senior Leadership Team must confirm that a complainant is acting unreasonably. Where this is so we will:

- a) Provide a single point of contact.
- b) Limit contact to a single form – i.e., to writing, email or telephone only.
- c) Limit contact to certain times or to a limited number of times per week or month.
- d) Decline to give any further consideration to an issue unless any additional evidence or information is provided.
- e) Only consider a certain number of issues in a specific period.
- f) The above course of action will be confirmed in writing to the complainant, alongside an explanation as to why their complaint is considered unreasonable and what action is

required to address this. We will review any such decision every 6 months.

9.3 Any new, unrelated complaints that are received during this time will be treated on their individual merits and will be dealt with accordingly.

10 SERVICE USER OR EXTERNAL COMPLAINT ABOUT A BOARD / COMMITTEE MEMBER, CHIEF EXECUTIVE OR LEADERSHIP TEAM MEMBER

- a) Where a service user or external complaint is received about the behaviour of a Board / Committee member, Chief Executive or Leadership Team member, the investigation will be commissioned by the People & Policy Committee.
- b) In commissioning this work, the Committee may choose to bring in an independent person to carry out the investigation if they consider it necessary to do so.
- c) Where the complaint is about a member of the People & Policy Committee, then the Chair of Trustees will work with the Chief Executive to commission an investigation.
- d) For the avoidance of doubt, this Complaints Policy is for service users or external complaints.
- e) For any internal matters relating to employment, volunteering or contracting concerns, then they should be raised under the Grievance Procedure.

11. DATA PROTECTION AND INFORMATION SHARING

We will share relevant information with appropriate agencies in line with Data Protection Legislation that governs when and how we can share personal information.

12. STAFF TRAINING

We will use anonymised complaints to support staff with training in delivering the Complaints Policy.

13. COMPLAINTS HANDLING PROCEDURE

13.1 Stage 1: Complaint

A complaint will first be investigated at stage one of the complaints procedure. YMCA will progress a complaint in a fair and transparent manner:

- a) Within **5 working days** we will acknowledge receipt of the complaint and provide an indication of when a substantive response to the complaint might be given. If we consider the matter to be a Service Request rather than a Complaint, then we will confirm that at this stage.
- b) The day we receive the complaint is day zero, unless the complaint is received outside of our core working hours in this instance, the date received will be logged as the following working day and counted as day zero.

- c) After acknowledging the complaint (above) we will contact the complainant (where appropriate) to arrange to meet and review the complaint and gather further information. We will also meet with members of staff and stakeholders as necessary to agree a response.
- d) No person subject to a complaint or representation will be required to take part in the complaint investigation or consideration except at informal resolution stage, and only if considered appropriate.
- e) Where the complaint is about the Chief Executive or a Trustee, then the investigation will be coordinated by the People & Policy Committee or Chair of the Board as is necessary and appropriate in the circumstances.
- f) After arranging to meet the complainant (or acknowledging the complaint if no meeting is proposed) we will write to the complainant setting out our findings and if necessary, offering a resolution. We will respond with a decision **within 10 working days** of the complaint being acknowledged.
- g) Our response will clearly set out the description of the complaint (the 'complaint definition') the decision made (whether it has been upheld/partially upheld/not upheld) and the reasons why, details of any remedies to put things right and any outstanding actions. It will also provide details of how to escalate the matter if unsatisfied. Any remedy offered will reflect the impact on the complainant as a result of any fault identified, and will be completed by an agreed date.
- h) If this time limit cannot be met, we will write to the complainant within 10 working days of acknowledgement, explaining the reason for the delay, providing a revised date (which should not exceed a further 10 working days without good reason) and giving details of the Housing Ombudsman, OFSTED or the Charity Commission (where relevant).
- i) We will, wherever possible, remedy the complaint at the earliest opportunity. This can be at any stage within the complaint process. If further issues are raised during the investigation, we will incorporate these into our stage 1 response if they are related to the original complaint. Any issues that are not related to the original complaint, are already being investigated or would unreasonably delay our response, will be treated as a new complaint.

13.2 If the complainant is not satisfied with the response to the complaint, they will have 14 working days in which to appeal. The procedure for making an appeal will be set out in the complaint response and an appeal must be made within 14 days.

13.3 If no appeal against the response to the complaint at Stage 1 is forthcoming, then the complaint will be considered to be closed. We will, however, exercise discretion and review requests to escalate after this time on a case-by-case basis.

13.4 Stage 2: Appeal Against Decision

- a) If the customer is not satisfied with the outcome of Stage 1 of the procedure, or feels that the

procedure has not been followed, the next step is to progress to stage 2.

- b) Within **5 working days** we will acknowledge receipt of the stage 2 complaint and provide an indication of when a substantive response to the complaint might be given.
- c) Complainants are not required to explain their reasons for requesting stage 2 consideration. We will, however, make reasonable efforts to understand why a complainant remains unhappy as part of our stage 2 process.
- d) A review of the decision will be taken by a person who is not involved in the original decision. Where the complaint is about the Chief Executive, a member of the Leadership Team or Trustee, then this appeal stage will be coordinated by the People & Policy Committee or Chair of the Board as is necessary and appropriate in the circumstances.
- e) We will respond with a decision **within 20 working days** of the stage 2 complaint being acknowledged. Our response will clearly set out the description of the complaint (the complaint definition) the reasons for our decision (whether it has been upheld/partially upheld/not upheld), details of any remedies to put things right and any outstanding actions. Any remedy offered will reflect the impact on the complainant as a result of any fault identified, and will be completed by an agreed date.
- f) If this time limit cannot be met, we will write to the complainant within 20 working days of acknowledgement, explaining the reason for the delay and providing a revised date (which should not exceed a further 20 days without good reason) and providing details for the Housing Ombudsman, OFSTED or the Charity Commission (where relevant).

13.5 Housing Ombudsman

Where the complaint relates to landlord services then complaints may be passed directly to the Ombudsman if the complaint has passed through all the internal procedures for considering complaints against the landlord. Residents can, however, contact the Housing Ombudsman at any point during the complaint process. They cannot investigate the complaint whilst it is going through the internal procedure, but they may help facilitate a resolution.

They can be contacted at:

Housing Ombudsman Service, PO Box 152, Liverpool L33 7WQ

<https://www.housing-ombudsman.org.uk/residents/make-a-complaint/>

13.6 OFSTED: Wraparound Care provision

Any parent/carer may submit a complaint to Ofsted about any aspects of our registered childcare provision. Ofsted's role in complaints is to ensure that childcare providers are following all registration requirements and to take action if necessary. Ofsted will review information received within complaints and decide what action to take. They may carry out an inspection, ask the

provider to take action or work with other agencies. Ofsted will publish all complaints made against providers on their website.

They can be contacted at:

OFSTED, Piccadilly Gate, Store Street, Manchester M1 2WD

Telephone: 0300 123 4666

enquiries@ofsted.gov.uk

<https://www.gov.uk/government/organisations/ofsted/about/complaints-procedure>

13.7 Charity Commission

Complaints can be made to the Charity Commission, after complaining directly to the charity first, if a charity is, for example:

- Not doing what it claims to do
- Losing lots of money
- Harming people
- Being used for personal profit or gain
- Involved in illegal activity

Visit the website first to determine whether the Charity Commission will accept the complaint –

<https://forms.charitycommission.gov.uk/raising-concerns/>

Appendix 1.

REPORTING RESPONSIBILITIES

The Board has appointed a Trustee Responsible for Complaints (TRC).

The TRC will scrutinise and review complaints, reports and performance prior to consideration by the Board. They will be responsible for ensuring the governing body receives regular information on complaints that provides insight into the Charity's complaint handling performance and helps create a culture of openness and transparency in this area.

The People & Policy Manager will be the lead officer for complaints handling. However, each Senior Leader will be responsible for responding to complaints in their areas of responsibility.

The People & Policy Manager will produce:

- annual complaints report to the Board and:
- quarterly complaints report to the People & Policy or Transformation Committee. This will sit alongside reporting on Tenant Satisfaction Measures. These documents will be published on our website.

The People & Policy Manager will hold the Complaints Officer designation for the purposes of the Housing Ombudsman Code.

The People and Policy Manager is responsible for liaising with the various nominated individuals, the Chief Executive, and the Chair of the Board about reporting complaints to Regulators as prescribed by the Incident Reporting Policy.

ADDITIONAL OFSTED REPORTING RESPONSIBILITIES RELATING TO THE CARE OF CHILDREN

If any of the events specified in sub-paragraph (2) takes place, the later years provider—

- a) notifies the Chief Inspector as soon as is reasonably practicable, and
- b) provides the Chief Inspector with information relating to the event as soon as is reasonably practicable, and in any event within 14 days of the event occurring.

The events are—

- a) the death of, or serious accident or serious injury to, a child which takes place whilst they are receiving later years provision,
- b) the death of, or serious accident or serious injury to, any other person on the relevant premises,
- c) the sudden serious illness of any child for whom the later years provision is provided,
- d) any allegation that serious harm to, or abuse of, a child has taken place—
- e) on the relevant premises, caused by any person, or
- f) other than on the relevant premises, caused by any person who cares for, or is in regular contact with, the children for whom the later years provision is provided, and
- g) any incident of food poisoning affecting two or more children for whom the later years provision is being provided.